

Svenska English Minor

SEB is complying with Swedish and international rules in order to prevent financial crime such as money laundering, tax evasion and terrorist financing. We as a bank must have good knowledge about you as a customer and your banking transactions in order to avoid being exploited for this type of crime. We will therefore ask you some questions which we need you to answer.

Thank you for your cooperation!

On the last page of the form, you find information regarding SEB's processing of personal data.

Personal Information

Surname, all first names

Given name	Coordination number (Samordningsnummer) issued by the Swedish Tax Agency	
Swedish personal ID number	If you don't have a Swedish personal ID number, please state your date of birth (yyymmdd) and gender <input type="checkbox"/> Female <input type="checkbox"/> Male	
Mobile phone	Telephone, home (including dialling code)	Telephone, work (including dialling code)
E-mail		

Country of citizenship	Country of citizenship
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Official address, population registration address	
Street address	C/o name
Address (postal code, location)	Country

Postal address if other than the population registration address	
Street address	C/o name
Address (postal code, location)	Country

Residence for tax purposes

Residence for tax purposes in Sweden

Do you have residence for tax purposes in Sweden?
 Yes No

If yes, and you do not have a Swedish personal ID no., state coordination number (samordningsnummer):

Residence for tax purposes in the USA

Do you have residence for tax purposes in the USA due to US citizenship a possession of a Green Card, a long-term stay or residence in the USA or other link to the USA?
 Yes No

If yes, state Taxpayer identification number (TIN)

Other or additional residence for tax purposes

State the country of the residence if the official address (population registration address) is another country than Sweden or the USA.

Country of residence	Taxpayer identification number (TIN)	<input type="checkbox"/> Taxpayer identification number (TIN) is not available. The country does not issue TIN.
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Country of residence	Taxpayer identification number (TIN)	<input type="checkbox"/> Taxpayer identification number (TIN) is not available. The country does not issue TIN.
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Payments and transfers to or from abroad

If you send or receive international payments, we need to know more about them

Do you regularly send money to, or receive money from, other countries than Sweden?
 Yes. Please specify to what country No. Proceed to section Occupation.

1. Specify country

What type of transfers will you make to the country? (Choose one or both options)

- Payments and transfers from my account in SEB
 Payments and transfers to my account in SEB

Sending payments and transfers to abroad

How often do you estimate that you will be sending money during the year?

- Regularly during the year An occasional transfer

How many times?

- 1-12 13-60 61-

How much do you estimate that you will be paying during the year? (Total in SEK)

- 1-10 000 100 001 - 250 000
 10 001-25 000 250 001-1 000 000
 25 001-50 000 1 000 001-
 50 001-100 000

Receiving payments and transfers from abroad

How often do you estimate that you will be receiving money during the year?

- Regularly during the year An occasional transfer

How many times?

- 1-12 13-60 61-

How much do you estimate that you will be receiving during the year? (Total in SEK)

- 1-10 000 100 001 - 250 000
 10 001-25 000 250 001-1 000 000
 25 001-50 000 1 000 001-
 50 001-100 000

Purpose

Where does the money you receive come from and/or for what will the money you send be used for? (Choose one or more options)

- | | |
|---|---|
| <input type="checkbox"/> Wages, pension or allowance | <input type="checkbox"/> Payment for goods/services |
| <input type="checkbox"/> Payment for rent/fees etc. | <input type="checkbox"/> Payment of mortgage, loans or other financing |
| <input type="checkbox"/> Payment for cost of living | <input type="checkbox"/> Savings on account, funds, insurances in my name |
| <input type="checkbox"/> Sale/purchase of shares or other securities | <input type="checkbox"/> Dividends of shares and other securities |
| <input type="checkbox"/> Sale/purchase of real estate | <input type="checkbox"/> Sale/purchase of company |
| <input type="checkbox"/> Sale/purchase of goods | <input type="checkbox"/> Inheritance |
| <input type="checkbox"/> Winnings from games or lotteries | <input type="checkbox"/> Gift or financial support to or from friends or family |
| <input type="checkbox"/> Payment to charity or non-profit organisations | |

2. Specify country (If it is more than one country you regularly send money to or receive money from)

What type of transfers will you make to the country? (Choose one or both options)

- Payments and transfers from my account in SEB
 Payments and transfers to my account in SEB

Sending payments and transfers to abroad

How often do you estimate that you will be sending money during the year?

- Regularly during the year An occasional transfer

How many times?

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 10 001-25 000 250 001-1 000 000
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 50 001-100 000

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 50 001-100 000

Purpose

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|---|---|
| <input type="checkbox"/> Wages, pension or allowance | <input type="checkbox"/> Payment for goods/services |
| <input type="checkbox"/> Payment for rent/fees etc. | <input type="checkbox"/> Payment of mortgage, loans or other financing |
| <input type="checkbox"/> Payment for cost of living | <input type="checkbox"/> Savings on account, funds, insurances in my name |
| <input type="checkbox"/> Sale/purchase of shares or other securities | <input type="checkbox"/> Dividends of shares and other securities |
| <input type="checkbox"/> Sale/purchase of real estate | <input type="checkbox"/> Sale/purchase of company |
| <input type="checkbox"/> Sale/purchase of goods | <input type="checkbox"/> Inheritance |
| <input type="checkbox"/> Winnings from games or lotteries | <input type="checkbox"/> Gift or financial support to or from friends or family |
| <input type="checkbox"/> Payment to charity or non-profit organisations | |



Occupation

State your occupation below

Employee
State the name of the employer

Self-employed/Sole Trader
State the name of the company

Student, researcher
 Unemployed
 Retired
 Other
State other occupation

Annual income

State how much you earn before taxes, per year (the amount in numbers, in whole SEK without decimals (ören))

Politically exposed person

Are you a politically exposed person, or are you a family member or a known close associate to such a person?

Yes. Proceed and answer the questions below. No. Proceed to section Purpose of business relationship and assets below.

State which type of public function the politically exposed person has (put a cross in the appropriate box and state the title of the person and the country or the organisation where the function is held)

- Head of state/Head of government
 Prime minister and other ministers in the Government Offices
 Vice and deputy minister
 Member of parliament
 Member of the Supreme Court
 The Supreme Administrative Court or the Court of Justice of the European Union
 Member of the courts of auditors
 Member of the board of central banks
 Ambassador
 Diplomatic envoy/Chargé d'affaire
 High-ranking officers in the armed forces (general, lieutenant general, major-general, admiral, vice-admiral or rear-admiral)
 CEO/deputy CEO or member of the board/deputy board in State-owned companies
 Director of an international organisation (e.g. UN, EU, NATO)
 Deputy Director of an international organisation (e.g. UN, EU, NATO)
 Member of the board of an international organisation (e.g. UN, EU, NATO)
 Member of the board of a political party
 Other type of public function

Title

Country or organisation

State during which time period

From date To date

State if you yourself are a politically exposed person (PEP) or if you have any kind of relationship to a PEP

- I am a politically exposed person
 Spouse
 Registered partner
 Cohabiting partner
 Child
 Spouse/Partner of child
 Parent
 Known close associate to a PEP
 Beneficial owner

State the name of the politically exposed person, if other than yourself

Purpose of business relationship and assets

State the purpose of the business relationship below

- Everyday economy
 Payments and Transfers
 Mortgages loans
 Saving on accounts
 Bank and credit card
 Other
State other
- Retirement savings/payments
 Investment advice
 Condition management
 Custody account or investment savings account
 Income support
- Personal insurance
 Savings in funds and securities. State how much:
 less SEK 100 000
 SEK 100 000 - 1 000 000
 more than SEK 1 000 000



Where do your total assets come from? (Select one or more)

- | | |
|---|---|
| <input type="checkbox"/> Wages | <input type="checkbox"/> Dividends in close company |
| <input type="checkbox"/> Pension | <input type="checkbox"/> Sale of company(ies) |
| <input type="checkbox"/> Insurance payments | <input type="checkbox"/> Other |
| <input type="checkbox"/> Inheritance or gift | <input type="checkbox"/> State other |
| <input type="checkbox"/> Sale of real estate/property | |
| <input type="checkbox"/> Investments | |
-

Do you have savings/assets that you want to transfer to us?

- No
- Yes. Select one or more options that best describes how you have achieved these savings/assets.
-

State how you have obtained the savings/assets which you transfer to us

- | | |
|---|---|
| <input type="checkbox"/> Wages | <input type="checkbox"/> Dividends in close company |
| <input type="checkbox"/> Pension | <input type="checkbox"/> Sale of company(ies) |
| <input type="checkbox"/> Insurance payments | <input type="checkbox"/> Other |
| <input type="checkbox"/> Inheritance or gift | <input type="checkbox"/> State other |
| <input type="checkbox"/> Sale of real estate/property | |
| <input type="checkbox"/> Investments | |
-

Transfers from other Swedish banks or insurance companies

If you want to transfer money from other Swedish banks or insurance companies, we need to ask a few questions

Do you think that you yourself will transfer money from other Swedish banks, insurance companies or financial institutions to your account at SEB in the coming year?

- Yes No. Proceed to section Withdraw and deposit cash.
-

Transfers to my account in SEB

How will you transfer money to your account held with us in the coming year?

- Regularly during the year A single transfer
-

How many times?

- 1-12 13-60 61-
-

How much do you think you will transfer during the year? (Total in SEK)

- | | |
|---|--|
| <input type="checkbox"/> 1-10 000 | <input type="checkbox"/> 100 001-250 000 |
| <input type="checkbox"/> 10 001-25 000 | <input type="checkbox"/> 250 001-1 000 000 |
| <input type="checkbox"/> 25 001-50 000 | <input type="checkbox"/> 1 000 001- |
| <input type="checkbox"/> 50 001-100 000 | |
-

Purpose

What is the origin of the money you will transfer to your account in SEB?

- | | |
|---|--|
| <input type="checkbox"/> Salaries/wages, pension or subsidies | <input type="checkbox"/> Payments of goods or services |
| <input type="checkbox"/> Payments of rents, fees or similar | <input type="checkbox"/> Savings in accounts, funds, insurance in my name |
| <input type="checkbox"/> Sale of shares or other securities | <input type="checkbox"/> Sale of company(ies) |
| <input type="checkbox"/> Sale of property/real estate | <input type="checkbox"/> Inheritance |
| <input type="checkbox"/> Sale of personal estate | <input type="checkbox"/> Gift or financial support from relatives or friends |
| <input type="checkbox"/> Winnings from games or lotteries | <input type="checkbox"/> Dividends of shares and other securities |
-

Withdraw and deposit cash

We need to know a little bit more about when you withdraw or deposit cash.

Will you regularly withdraw or deposit cash (bank notes and coins) over the next year?

- Yes No
-

Withdraw cash

How many times per month?

- 1-5 6-10 11 -
-

How much will you withdraw per month? (Total in SEK)

- | | |
|--|--|
| <input type="checkbox"/> 1-5 000 | <input type="checkbox"/> 15 001-20 000 |
| <input type="checkbox"/> 5 001-10 000 | <input type="checkbox"/> 20 001- |
| <input type="checkbox"/> 10 001-15 000 | |
-

What are you planning on using the cash for?

- | | |
|--|--|
| <input type="checkbox"/> Gifts | <input type="checkbox"/> Current expenditure, e.g. for food, refuel the car, restaurant visit, health care |
| <input type="checkbox"/> Shopping, hobby, spare time | <input type="checkbox"/> Weekly or monthly pocket money for the children |
| <input type="checkbox"/> Cash when traveling | <input type="checkbox"/> Other |
-



Deposit cash

How many times per month?

1-5 6-10 11 -

How much will you deposit per month? (Total in SEK)

1-5 000 15 001-20 000
 5 001-10 000 20 001-
 10 001-15 000

What is the origin of the money you will deposit?

Gifts or succession Wages/remuneration or compensation for services I performed
 Sold goods, hobby materials Fundraising class cash account
 Study allowance Other
State other _____

Sole trader

Do you obtain a sole proprietorship? (Fill in "No" unless you are registered for running a business operation as a Sole trader)

Yes No

Account opening information (Information on how you will use the bank account you open with us)

Purpose

Purpose of the account _____

State the expected amount to be transferred to the account Amount Currency

Annually Monthly Once

Origin of funds

State where the funds you transfer to us come from

Swedish bank/Swedish financial institution
 Foreign bank/foreign financial institution
State country and name of bank/institution _____

Other _____

Is it someone other than yourself who is the sender?

Yes No

State name of sender _____

Signature

I/we confirm that I/we are the owner(s) of the funds in the account(s) and that the information provided in this document is correct and complete. I/we undertake to immediately inform the bank should any change to such information occur.

Place, date _____

Signature _____

Printed name _____

Identification

Type of ID

Driving licence Passport
 Other ID-document Minor

Number of ID-document _____

Country (if foreign ID-document) _____



Explanations

Residence for tax purposes and taxpayer identification number (TIN)

Swedish banks and other financial institutions shall according to Swedish law 1) obtain information on residence for tax purposes and taxpayer identification number (TIN). The provisions are based on international agreements on exchange of information regarding the tax area, with the purpose of counteract tax evasion.

Residence for tax purposes

Residence for tax purposes in one country means that one is liable for tax in that country, regardless of where the income derive from. If one only pay taxes for certain objects in a country, e.g. real estate tax or tax on dividends, one is not considered to be a resident for tax purposes in that country.

Normally, one has residence for tax purposes in the country where one lives and works, but on certain cases, you can have residence for tax purposes in more than one country. As an example, this can be the case for American citizens which are residents in another country than the US, or a Swedish person living abroad but still has a so called significant connection to Sweden. You are deemed to have residence for tax purposes in the US

- if you are an American citizen,
- if you have an American Green card, or
- if you have been residing in the US during a certain amount of time (normally more than 183 days per year).

If you are deemed to have residence for tax purposes in the US in accordance with item two or three above, and at the same time have residence for tax purposes in another country according to a double taxation agreement between that country and the US (dual residence), you are not deemed to have residence for tax purposes in the US.

Taxpayer identification number (TIN)

In order for the bank to establish a customer relationship, it is generally required that the customer states its taxpayer identification number (TIN). However, the requirement is not applicable if the customer has residence in a country not issuing taxpayer identification numbers (TIN), or if there is another acceptable reason for a taxpayer identification number (TIN) to be missing.

Most countries issue a taxpayer identification number or TIN. In Sweden, the personal identity number is used as taxpayer identification number.

Occupation

Swedish banks and other financial institutions shall, according to law, obtain information on a customer's occupation and the purpose of the

relationship with the bank. The provisions are based on international agreements with the purpose of preventing that the bank is used for money laundering.

Annual income

In the annual income you shall include

- wages, pension and similar
- income from capital

Examples of income from capital are dividends, interest, profits from sales or if you have rented a private asset.

Politically Exposed Persons/PEP

Swedish banks and other financial institutions shall also, according to law, obtain information on whether the customer is a politically exposed person (PEP). Being a politically exposed person means that one has, or have had, important public functions within a state, a state-owned company or an international organisation. A politically exposed person is, due to its position and influence, deemed to have a position which in itself constitutes a risk for being used for, among other things, bribes.

The same risk is deemed to apply also to persons who have a professional, ownership and/or private relationship with a politically exposed person. We therefore ask you to fill out if you are a politically exposed person or if you are a family member or known close associate to a PEP, for example a person who together with a PEP owns a company, or in any other way has a controlling influence over a company run together with a PEP, and persons who own or exercise influence over a company actually set up for the benefit of a PEP.

Assets, expected amount and source of funds

Swedish banks and other financial institutions shall, according to law, 2) obtain information on the customer's assets, expected amount and source of funds. The provisions are based on international agreements with the purpose of preventing that the bank is used for money laundering. Therefore, we ask you the following:

- Information describing how you have received the assets that you transfer to SEB
- Information on the amount you want to transfer and where the funds that you transfer to the bank derives from, e.g. where has the funds been before the reach SEB. Please state the name of the bank or insurance company, and where applicable, the country, where the assets that will be transferred to SEB is today.



1) The Swedish FATCA Act (Sw.lagen om (2015:62) om identifiering av rapporteringspliktiga konton med anledning av FATCA-avtalet samt lagen (2015:911) om identifiering av rapporteringspliktiga konton vid automatiskt utbyte av upplysningar om finansiella konton)
2) The Swedish AML Act (Sw. lag (2017:630) om åtgärder mot penningtvätt och finansiering av terrorism)

Information about SEB's processing of personal data etc. in accordance with the General Data Protection Regulation ((EU) 2016/679)

Controller

Skandinaviska Enskilda Banken AB (publ) - SEB
Corporate ID no. 502032-9081
106 40 Stockholm
Telephone +46 (0)771-365 365

For SEB's privacy policy, with complete information about SEB's processing of personal data, please see www.seb.se/personuppgifter-seb. Alternatively, you may request to receive the information by post from SEB.

Below follows a summary of how SEB is handling your personal data and your rights.

Collection of personal data

Personal data is provided and obtained before and in connection with the initiation of a customer relationship and entering of an agreement and/or authorisation of an assignment, or otherwise in connection with a customer relationship. When contacting SEB by phone these conversations will be recorded.

Purposes

SEB processes personal data for the purposes deemed necessary as follows:

- Entering into, administration and fulfilment of agreements, including advice
- for fulfilment of obligations according to law, other regulations or decisions by public authorities
- for market and customer analyses as well as systems development and marketing

Personal data may for the specified purposes, and with bank secrecy regulations taken into account, sometimes be disclosed to other legal entities within the SEB Group or to companies which the SEB Group collaborates with, both inside and outside the EU/EEA.

Your rights

You have the right to receive information about personal data concerning you that are being processed by SEB, meaning that you are entitled to a register extract.

You may also contact SEB, if you wish to:

- a) request rectification of inaccurate or incomplete personal data,
- b) request erasure or restriction of processing of personal data,
- c) object to the processing of personal data, or
- d) retrieve the personal data that you have provided to SEB yourself, where the processing is based on a contract or consent, and, where technically feasible, have the data transmitted directly to another controller (data portability).

Your request and/or objection as per the above will be assessed by SEB on a case-by-case basis.

If you wish to obtain information, or have a request as set out above, please send or hand in a request to SEB, Dataskydd, 106 40 Stockholm, Sweden, or contact SEB at the phone number above. In the same manner you may notify SEB that you do not wish to receive direct marketing.

Data protection officer

SEB has designated a data protection officer, whose task is to monitor SEB's compliance with the regulations concerning protection of personal data. The data protection officer shall fulfil his or her duties independently in relation to SEB. You may contact the data protection officer at SEB, Dataskyddsombud, 106 40 Stockholm, Sweden.

